E-1-16-01

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 81230.62US3

First Inventor or Application Identifier Patrick H. Hayes

SYSTEM AND METHOD FOR USING A HAND HELD DEVICE TO DISPLAY A READABLE REPRESENTATION OF AN AUDIO TRACK

Express Mail Label No. EL894861119US (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO Box Patent Application See MPEP chapter 600 concerning design patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. 🔲 Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🖂 Specification Computer Readable Form (CRF) Total Pages L67 (preferred arrangement set forth below) Specification Sequence Listing on: Descriptive title of the Invention i.

CD-ROM or CD-R (2 copies); or - Cross References to Related Applications ii. 🔲 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention **ACCOMPANYING APPLICATIONS PARTS** - Brief Description of the Drawings (if filed) - Detailed Description 9. 🛛 Assignment Papers (cover sheet & document(s)) Claim(s) 10. 🔲 37 C.F.R.§3.73(b)Statement Power of - Abstract of the Disclosure (when there is an assignee) Attorney 4. 🛛 Drawing(s) (35 U.S.C.113) [Total Sheets 11. 🔲 English Translation Document (if applicable)] 5. Oath or Declaration [Total Pages | 3 12. Information Disclosure Copies of IDS a. Newly executed (original or copy) Statement (IDS)/PTO-1449 Citations b. Copy from a prior application (37 CFR 1.63 (d)) 13. 🔲 **Preliminary Amendment** (for a continuation/divisional with Box 17 completed) 14. 🔯 Return Receipt Postcard (MPEP 503) (Should be specifically itemized) i. ☐ DELETION OF INVENTOR(S) 15. 🔲 Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR (if foreign priority is claimed) 1.63(d)(2) and 1.33(b). 16. 🔲 6. Application Data Sheet. See 37 CFR 1.76 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: / Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS or

Correspondence address below □ Customer Number or Bar Code Label (Insert Custo Name ATENT TRADEMARK OFFICE Address City Zip Code State Country Telephone Fax Name (Print/Type) Gary R. Jarosik Registration No. (Attorney/Agent) 35,906 Signature Date July 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office,



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete If Known							
Application Number							
Filing Date	concurrent herewith						
First Named Inventor	Patrick H. Hayes						
Examiner Name							
Group / Art Unit							
Attorney Docket No.	81230.62US3						

TOTAL AMOUNT OF PAYMENT (\$) 1,272.00							Attorney Docket No. 81230.62US3						
		METH	OD OF PA	AYMENT (ch	eck one)						FEE C	ALCULATION (continued)	
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:						3. ADDITIONAL FEES							
i. Dep	oosit	[and credit an	y over paym	ents to:		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
	ount nber	011,1	156					105	130	205	65	Surcharge - late filing fee or oath	
Deposit							127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
	ount	Althe	imer & Gr	ay				139	130	139	130	Non-English specification	
Name						147	2,520	147	2,520	For filing a request for reexamination			
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
Applicant claims small entity status. See 37 CFR 1.27						113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
2.	Payme	nt Enclo	sed:					115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other						116	390	216	195	Extension for reply within second month			
Order						117	890	217	445	Extension for reply within third month			
FEE CALCULATION 1. BASIC FILING FEE						118	1,390	218	695	Extension for reply within fourth month			
Large	Entity		Entity					128	1,890	228	945	Extension for reply within fifth month	
Fee		Fee		Fee Descript	lon			119	310	219	155	Notice of Appeal	
Code		Code	(\$)			Fee Paid	_	120	310	220	155	Filing a brief in support of an appeal	
101		201	355 I	Utility filing fe	е	710	_	121	270	221	135	Request for oral hearing	
106 107		206 207	160 Design filing fee 245 Plant filing fee				+	138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208	355 I	Reissue filing	fee		1.	140	110	240	55	Petition to revive - unavoidable	
114	150	214	75	Provisional fil	ling fee		1	141	1,240	241	620	Petition to revive – unintentional	
					-		_	142	1,240	242	620	Utility issue fee (or reissue)	
SUBTOTAL (1) (\$) 710						╛	143	440	243	220	Design issue fee		
2 FYT	RA CLAI	M EEES						144	600	244	300	Plant issue fee	
2. LA	IVA OLAII	, , LLO		Extra	Fee from	Fee		122	130	122	130	Petitions to the Commissioner	
Claims Delow Paid						123	50	123	50	Petitions related to provisional applications			
Independe Claims	ent 8	-3	··· = [5 X	80	= 400	7	126	240	126	240	Submission of Information Disclosure Stmt	
Multiple Depender	ıt		_	×	(] =	<u></u>	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Desci	dation			146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code 103	(\$) 18	Code 203	(\$) 9		excess of 20			149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102	80	202	40		nt claims in			179	710	279	355		
104	270	204	135	Multiple de	ependent cla	im, if not paid						Request for Continued Examination (RCE)	$oxed{oxed}$
109	80	209	40	** Reissue original pa		nt claims over		169 90	900	900 169	900	Request for expedited examination of a design application	
110	18	210	9	9 ** Reissue claims in excess of 20 and over original patent									
			SUBTOTAL (2) (\$) 562.00]	Other fe	e (specif	y)			
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SUBMITTED BY Complete (if applicable)											
Name (Print/Type)	Gary R. Jarosik	Registration No. Attorney/Agent)	35,906	Telephone	(312) 715-4000						
Signature	Jay			Date	July <u>/3</u> , 2001						